# SECURITIES AND FUTURES ACT (CAP. 289) SECURITIES AND FUTURES (DISCLOSURE OF INTERESTS) REGULATIONS 2012 PARTICULARS AND CONTACT DETAILS FORM



### Explanatory Notes

- 1. Please read the explanatory notes carefully before completing this form.
- 2. A person giving notice under Part VII of the Securities and Futures Act (Cap. 289) (the "SFA") using Form 1, 3, 5, 6 or 7 shall also complete this form.
- 3. This form must be completed by the person(s) giving notice or another person duly authorised by him/them to do. The person so authorised should maintain records of information furnished to him.
- 4. Where more than one person is giving notice using a single Form 3 or 5, the contact details of all the persons giving notice **must** be provided in a single Form C.
- 5. Upon its completion, this non-electronic format of Form C and Form 1, 3, or 5, together with the respective continuation sheets for additional person(s) or transaction(s), if any, should be sent to the Listed Issuer. The Listed Issuer will transcribe the information contained in both forms and the continuation sheets, if any, onto their electronic format. Both electronic forms will then be attached to the prescribed SGXNET announcement template for dissemination as required under section 137G, 137R or 137ZC of the SFA, as the case may be.
- 6. While Form C will be attached to the announcement template, it will not be disseminated to the public and is made available only to the Monetary Authority of Singapore (the "Authority"). The contact details will be used solely for regulatory review purposes.
- 7. If Form 1, 3, 5 or 6 had been released on the securities exchange and there are changes to the information in the accompanying Form C, an amended Form C should be faxed directly to the Authority at +65 6225 1355 or e-mail to SFA\_Notifications@mas.gov.sg.
- 8. All applicable parts of this form must be completed.
- 9. Please select only one option from the relevant check boxes.
- 10. In this Form, the term "Listed Issuer" refers to -
  - (a) a company incorporated in Singapore any or all of which shares are listed for quotation on the official list of a securities exchange;
  - (b) a corporation (not being a company incorporated in Singapore, or a collective investment scheme constituted as a corporation) any or all of the shares in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing;
  - (c) a registered business trust (as defined in the Business Trusts Act (Cap. 31A)) any or all of the units in which are listed for quotation on the official list of a securities exchange;
  - (d) a recognised business trust any or all of the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing; or
  - (e) a collective investment scheme that is a trust, that invests primarily in real estate and real estate-related assets specified by the Authority in the Code on Collective Investment

Schemes, and any or all the units in which are listed for quotation on the official list of a securities exchange, such listing being a primary listing ("Real Estate Investment Trust").

11. For further instructions and guidance on how to complete this form, please refer to Section 10 of the User Guide on Electronic Notification Forms which can be accessed at the Authority's Internet website at http://www.mas.gov.sg (under "Regulations and Financial Stability" → "Regulations, Guidance and Licensing" → "Securities, Futures and Fund Management" → "Forms" → "Disclosure of Interests"). While the User Guide focuses on the electronic form, instructions contained therein on how information is to be provided will also apply to this non-electronic notification form.

#### Part I – Details of Person(s) giving notice

- 1. Person(s) giving notice is a/are:
  - Director/Chief Executive Officer (Form 1)
  - □ Substantial Shareholder(s)/Unitholder(s) (*Form 3*)
  - □ Shareholder(s) of Trustee-Manager/Responsible Person (*Form 5*)
  - □ Trustee-Manager/Responsible Person (*Form 6*)
  - □ Corporation/Trustee-Manager/Responsible Person (SGXNET announcement template (*Form 7*))

Person A<sup>1</sup>

- 2. (a) Details of Person A:
  - (i) Name<sup>2</sup>:
  - (ii) Identification Type:
  - □ NRIC No. (for Singapore citizen and permanent residents only)
  - □ Foreign Identification No. (FIN) (for foreign individuals only)
  - □ Passport No. (*for foreign individuals only*)
  - □ Unique Entity No. (UEN) (for Singapore registered entities only)
  - Corporation Identification/Registration No. (for foreign entities only)

Identification No.:

- (iii) Country of issue of Passport (for foreign individuals) / Country of Incorporation or Constitution (for foreign entities):
- (b) Correspondence Address:
  - □ Singapore address

Block/House No:		
Street Name:		
Floor No.:	Unit No.:	
Building Name:		
Postal Code:		

 $<sup>^{1}</sup>$  In a case where more than one person is giving notice in respect of a transaction in the same Form 3 or 5, please use Form C - Continuation Sheet for Part I (Contact Details of Additional Person(s) Giving Notice), to provide contact details of each additional person.

<sup>&</sup>lt;sup>2</sup> Please state the name of the person giving notice as it appears in Form 1, 3, 5, 6 or 7.

OR
Overseas address
Address Line 1:
Address Line 2:
Address Line 3:

(c) The address provided in item 2(b) above is:

Person A's address

Where Person A is an entity, please specify the name of the officer to receive correspondence:

□ Person A's care of address

Please specify the name of the care of entity:

Please specify the name of individual to receive correspondence:

3. Remarks (if any):

Continuation of Part I for an additional person(s) "B", "C" etc

[Use Form C - Continuation Sheet for Part I (Contact Details of Additional Person(s) Giving Notice) provided on the Authority's Internet website.]

## <u>Part II – Details of contact person for clarification on information contained in Form 1, 3, 5, 6</u> or 7

Details of Contact Person:

(a) Name:			
(b) Contact	Number:		
(c) Contact	Email:		

### Part III – Amended Form C

If this Form C is an **amendment** of a Form C which was included in an earlier notification, please provide:

- (a) 5-digit SGXNET announcement number of the earlier notification which was announced on SGXNET *(the "Initial Announcement")*:
- (b) Date of the Initial Announcement: